

# Languages, their Generators and Parsers

**Language** is the set of **finite length strings** “over” a finite alphabet.

**CFG** is a **Context Free Grammar** — interesting languages are infinite, so we can't just write down all the possible strings of the language. We need a way to **generate** a language, and a way to check the correctness (syntax) of some string over the alphabet. **CFGs** provide both of these tools.

# Languages, their Generators and Parsers

**Language** is the set of **finite length strings** “over” a finite alphabet.

“over” means *generated by*, **alphabet is no longer letters** as in REs, now “alphabet” means “*words*” or more generally *sequences of symbols*.

The set of strings that constitute a language may be **unbounded** (“infinite”), but the strings themselves are finite.

**CFG** is a **Context Free Grammar** — interesting languages are infinite, so we can’t just write down all the possible strings of the language. We need a way to **generate** a language, and a way to check the correctness (syntax) of some string over the alphabet. **CFGs** provide both of these tools.

**Context Sensitive Grammars** do the same, but are more complicated to analyse (because they define both the **syntax** and the **semantics** of a language). Not used in the nuts and bolts of compilers.

# Context Free Grammars

A compact representation of a language defined with four terms:

1. A finite set of **non-terminal** symbols  $N$
2. A finite **alphabet of terminals**  $\Sigma$ , an “end-of-file” marker  $\$$ , and the empty string symbol  $\lambda$
3. A finite set of **productions** (rewriting rules)  $P$
4. A **start or goal symbol**  $S$  that begins the process of *derivations*

$$\begin{array}{lcl} S & \rightarrow & A M \$ \\ A & \rightarrow & B C \\ & & | C M \\ B & \rightarrow & b g h \\ C & \rightarrow & s t \\ & & | \lambda \\ M & \rightarrow & m \\ & & | n \\ & & | p \end{array}$$

# Context Free Grammars

A compact representation of a language defined with four terms:

... but not the representation of **all** languages!

1. A finite set of **non-terminal** symbols  $N$
2. A finite **alphabet of terminals**  $\Sigma$ , an “end-of-file” marker  $\$$ , and the empty string symbol  $\lambda$   
(the  $\$$  marker enforces “**finite length strings**” of the language)
3. A finite set of **productions** (rewriting rules)  $P$
4. A **start or goal symbol**  $S$  that begins the process of *derivations*

$S$	$\rightarrow$	$A M \$$	}	$P$
$A$	$\rightarrow$	$B C$		
	$ $	$C M$		
$B$	$\rightarrow$	$b g h$		
$C$	$\rightarrow$	$s t$		
	$ $	$\lambda$		
$M$	$\rightarrow$	$m$		
	$ $	$n$		
	$ $	$p$		

$$N = \{S, A, B, C, M\} \quad \Sigma = \{b, g, h, s, t, m, n, p\}$$

# Context Free Grammars

A compact representation of a language defined with four terms:

... but not the representation of **all** languages!

1. A finite set of **non-terminal** symbols  $N$
2. A finite **alphabet of terminals**  $\Sigma$ , an “end-of-file” marker  $\$$ , and the empty string symbol  $\lambda$   
(the  $\$$  marker enforces “**finite length strings**” of the language)
3. A finite set of **productions** (rewriting rules)  $P$
4. A **start or goal symbol**  $S$  that begins the process of *derivations*

$S$	$\rightarrow$	$A M \$$	}	$P$
$A$	$\rightarrow$	$B C$		
	$ $	$C M$		
$B$	$\rightarrow$	$b g h$		
$C$	$\rightarrow$	$s t$		
	$ $	$\lambda$		
$M$	$\rightarrow$	$m$		
	$ $	$n$		
	$ $	$p$		

Combining these four elements notationally, a grammar is  $G(N, \Sigma, P, S)$  and its vocabulary  $V = N \cup \Sigma$ . Implicitly,  $N \cap \Sigma = \emptyset$ .

## NOTATION, Notation, notation

Recall:  $N$  is a set of grammar non-terminals,  $\Sigma$  is a set of grammar terminals,  $P$  is the set of grammar production rules

- ▶ **Augmented  $\Sigma$** :  $\Sigma_{\$} = \Sigma \cup \{\$\}$   
The set of language terminals along with end-of-input marker.  $\Sigma_{\$}$  will come in handy for several critical algorithms. We will also occasionally need  $\Sigma_{\lambda}$ .
- ▶ Uppercase Latin ( $X, K, TAIL, EXPR$ ): symbols in  $N$
- ▶ lower case Latin and punctuation ( $x, k, \text{while}, \text{def}, ?, !$ ): elements of  $\Sigma$
- ▶ Capital Script Latin ( $\mathcal{X}, \mathcal{K}$ ): sets of symbols from  $N \cup \Sigma$
- ▶ Greek letters ( $\alpha, \beta, \gamma$ ):  $(N \cup \Sigma)^*$  (where  $*$  is the Kleene operator of REs)  
So a (possibly empty) **sequence** of symbols from the grammar

# Derivations

A CFG is a *recipe for generating strings* of a language.

- ▶ A **rewrite** is when a production rule  $A \rightarrow \alpha$  replaces  $A$  with  $\alpha$ ;  
rewriting with the special rule  $A \rightarrow \lambda$  deletes  $A$ .
- ▶ Each rewrite is a step in the **derivation** of some string of the language.
- ▶ If we begin at  $S$ , the grammar's **start symbol**, the set of all possible (terminal only) derived strings is the **context free language** of the grammar,  $L(G)$ .

$$S \Rightarrow A M \$$$

$$S \Rightarrow C M M \$$$

$$S \Rightarrow \lambda M M \$$$

$$S \Rightarrow m M \$$$

$$S \Rightarrow m p \$$$

$$m p$$

# Derivations

A CFG is a *recipe for generating strings* of a language.

- ▶ A **rewrite** is when a production rule  $A \rightarrow \alpha$  replaces  $A$  with  $\alpha$ ;  
rewriting with the special rule  $A \rightarrow \lambda$  deletes  $A$ .
- ▶ Each rewrite is a step in the **derivation** of some string of the language.
- ▶ If we begin at  $S$ , the grammar's **start symbol**, the set of all possible (terminal only) derived strings is the **context free language** of the grammar,  $L(G)$ .

$$S \Rightarrow A M \$$$

$$S \Rightarrow C M M \$$$

$$S \Rightarrow \lambda M M \$$$

$$S \Rightarrow m M \$$$

$$S \Rightarrow m p \$$$

$$m p$$

The process of **derivation** can also be used to validate the **syntax** of some specific  $\Sigma^* \$$  — by the end of the course this is what you'll remember most : (

... recall  $\Sigma^*$  are all possible strings made of language terminals, the  $\$$  suffix indicates **finite** sequences.

## Derivation Notation and Sentential Forms

$\Rightarrow$  means “derives in one derivation step”      If  $A \rightarrow \lambda$ , then  $\alpha A \beta \Rightarrow \alpha \beta$ .

... recall lower Greek letters are  $(N \cup \Sigma)^*$

$\Rightarrow^+$  derives in one or more derivation step(s)  $A \Rightarrow^+ m$ .

$\Rightarrow^*$  derives in zero or more derivation steps       $S \Rightarrow^* A M \$$        $S \Rightarrow^* m p$ .

... the latter two from the [slide grammar](#) .

## Derivation Notation and Sentential Forms

$\Rightarrow$  means “derives in one derivation step”      If  $A \rightarrow \lambda$ , then  $\alpha A \beta \Rightarrow \alpha \beta$ .

... recall lower Greek letters are  $(N \cup \Sigma)^*$

$\Rightarrow^+$  derives in one or more derivation step(s)  $A \Rightarrow^+ m$ .

$\Rightarrow^*$  derives in zero or more derivation steps       $S \Rightarrow^* A M \$$        $S \Rightarrow^* m p$ .

... the latter two from the [slide grammar](#) .

$\beta$  is a **sentential form** of a CFG if  $S \Rightarrow^* \beta$ ,<sup>1</sup>

$SF(G)$  is the set of all sentential forms of grammar  $G$  (typically a CFG), and now we can formalize the **language of a grammar**:

$$L(G) = \{w \in \Sigma^* \mid S \Rightarrow^+ w\} = SF(G) \cap \Sigma^*$$

<sup>1</sup>... more accurately:  $S \Rightarrow^* \beta \$$  or  $S \Rightarrow^+ \beta$ , but all texts seem to play fast and loose with these notations : ( I try to be as consistent as possible.

## Sentential Forms and $L(G)$ by Example (take two)

A CFG is a *recipe for generating strings* of a language, it can also be used to *verify the syntax* of a finite string from  $\Sigma^*$ .

- ▶  $\beta$  is a **sentential form** of a CFG if  $S \Rightarrow^* \beta$ ,  
 $SF(G)$  is the set of all sentential forms of grammar  $G$ ,  
... so all the symbol sequences to the left of  $\Rightarrow^*$ s are **sentential forms**.

- ▶ Finite strings from  $\Sigma^*$  that **are also** sentential forms define the language  $L$  of a grammar  $G$ ,

$$L(G) = SF(G) \cap \Sigma^*$$

so  $m p$  is in the language of this grammar.

$$S \Rightarrow A M \$$$

$$S \Rightarrow C M M \$$$

$$S \Rightarrow \lambda M M \$$$

$$S \Rightarrow m M \$$$

$$S \Rightarrow m p \$$$

$m p$

## Sentential Forms and $L(G)$ by Example (take two)

A CFG is a *recipe for generating strings* of a language, it can also be used to *verify the syntax* of a finite string from  $\Sigma^*$ .

- ▶  $\beta$  is a **sentential form** of a CFG if  $S \Rightarrow^* \beta$ ,  
 $SF(G)$  is the set of all sentential forms of grammar  $G$ ,  
... so all the symbol sequences to the left of  $\Rightarrow^*$ s are **sentential forms**.

- ▶ Finite strings from  $\Sigma^*$  that **are also** sentential forms define the language  $L$  of a grammar  $G$ ,

$$L(G) = SF(G) \cap \Sigma^*$$

so  $m p$  is in the language of this grammar.

$$S \Rightarrow A M \$$$

$$S \Rightarrow C M M \$$$

$$S \Rightarrow \lambda M M \$$$

$$S \Rightarrow m M \$$$

$$S \Rightarrow m p \$$$

$$m p$$

**Notice** that we treat  $\lambda M M \$ \equiv M M$  because  $\lambda$  **and**  $\$$  **are not in**  $\Sigma$ , they are merely notational placeholders (but soon we'll see them become important algorithmic entities!)

## Left or Right Most Derivations

What happens when you want to perform the next rewrite on

$$A \Rightarrow C M$$

Which is rewritten first,  $C$  or  $M$ ?

# Left or Right Most Derivations

What happens when you want to perform the next rewrite on

$$A \Rightarrow C M$$

Which is rewritten first,  $C$  or  $M$ ?

Neither  $C$  first or  $M$  first is wrong, as long as we **always** work **left to right** (“leftmost”) or **right to left** (“rightmost”).

This is more than just a convention, the choice **dictates the complexity of the language you can compile**.

**Which is an incredibly huge takeaway for this course!**

# Left or Right Most Derivations

What happens when you want to perform the next rewrite on

$$A \Rightarrow C M$$

Which is rewritten first,  $C$  or  $M$ ?

## Left-Most Derivations

- ▶ Denoted with  $\Rightarrow_{lm}$ ,  $\Rightarrow_{lm}^*$ ,  $\Rightarrow_{lm}^+$
- ▶ Creates **left sentential forms**  $\subseteq SF(G)$
- ▶ The type of “validation derivation” performed by **top down parsers**, aka “recursive descent parsing.”

## Right-Most Derivations

- ▶ Denoted with  $\Rightarrow_{rm}$ ,  $\Rightarrow_{rm}^*$ ,  $\Rightarrow_{rm}^+$
- ▶ Creates **right sentential forms**  $\subseteq SF(G)$
- ▶ Performed by **bottom up parsers**; colloquially referred to as “canonical parsing”.
- ▶ Many of our favorite programming languages require right most derivations.

# Left or Right Most Derivations

#	Rules
1	$S \rightarrow E \$$
2	$E \rightarrow PREFIX ( E )$
3	$E \rightarrow v TAIL$
4	$PREFIX \rightarrow f$
5	$PREFIX \rightarrow \lambda$
6	$TAIL \rightarrow + E$
7	$TAIL \rightarrow \lambda$

Source string:

$f ( v + v )$

## Left most derivation

- (1)  $S \Rightarrow_{lm} E \$$
- (2)  $S \Rightarrow_{lm} PREFIX ( E ) \$$
- (4)  $S \Rightarrow_{lm} f ( E ) \$$
- (3)  $S \Rightarrow_{lm} f ( v TAIL ) \$$
- (6)  $S \Rightarrow_{lm} f ( v + E ) \$$
- (3)  $S \Rightarrow_{lm} f ( v + v TAIL ) \$$
- (7)  $S \Rightarrow_{lm} f ( v + v ) \$$

## Right most derivation

- (1)  $S \Rightarrow_{rm} E \$$
- (2)  $S \Rightarrow_{rm} PREFIX ( E ) \$$
- (3)  $S \Rightarrow_{rm} PREFIX ( v TAIL ) \$$
- (6)  $S \Rightarrow_{rm} PREFIX ( v + E ) \$$
- (3)  $S \Rightarrow_{rm} PREFIX ( v + v TAIL ) \$$
- (7)  $S \Rightarrow_{rm} PREFIX ( v + v ) \$$
- (4)  $S \Rightarrow_{rm} f ( v + v ) \$$

## (“Raw”) Parse Trees

- ▶ Root with  $S$ , the grammar **start symbol** or **goal**.
- ▶ Interior nodes  $\in N$ , always **non-terminals** of the language.
- ▶ An interior node and its children is a **derivation rewrite**, the root is the LHS of a production rule, the children are the RHS.
- ▶ When a derivation is complete, all leaves  $\in \Sigma + \{\$, \lambda\}$  (to say “the language terminals” **is not 100% correct**).
- ▶ Sentential forms are derivable from  $S$ , so all sentential forms have a parse tree.

