1. Similar to our discussion today in lecture, the regular expression $\mathrm{a}-\mathrm{d} . \mathrm{q}(\mathrm{A}|\mathrm{B}|)$ *de+ from the $\mathrm{LL}(1)$ grammar below would yield the "raw" or concrete parse tree on page 6.

| $\#$ | Rules |
| :--- | :--- |
| 1 | RE $\rightarrow$ ALT \$ |
| 2 | ALT $\rightarrow$ SEQ ALTLIST |
| 3 | ALTLIST $\rightarrow$ pipe SEQ ALTLIST |
| 4 | ALTLIST $\rightarrow \lambda$ |
| 5 | SEQ $\rightarrow$ ATOM SEQLIST |
| 6 | SEQ $\rightarrow \lambda$ |
| 7 | SEQLIST $\rightarrow$ ATOM SEQLIST |
| 8 | SEQLIST $\rightarrow \lambda$ |
| 9 | ATOM $\rightarrow$ NUCLEUS ATOMMOD |
| 10 | ATOMMOD $\rightarrow$ kleene |
| 11 | ATOMMOD $\rightarrow$ plus |
| 12 | ATOMMOD $\rightarrow \lambda$ |
| 13 | NUCLEUS $\rightarrow$ open ALT close |
| 14 | NUCLEUS $\rightarrow$ char CHARRNG |
| 15 | NUCLEUS $\rightarrow$ dot |
| 16 | CHARRNG $\rightarrow$ dash char |
| 17 | CHARRNG $\rightarrow \lambda$ |

During the parse several steps can be performed to simplify the structure of the tree, resulting in:


In lecture (show_re-sdt.pdf ) we discussed the syntax directed translation procedures that would be executed on a subset of the grammar rules. This question asks you to compose the pseudo code for the remaining production rules that didn't have their logic presented in lecture.

For guidance, you can use this visualization of the parsing steps for this question's example.
If you missed lecture, there is a lengthy explanation of the mechanics of syntax directed translation on page 4.
2. We presume your group has by now at least one working LL(1) parser from ll1-parsing.pdf. Take any of the many simple grammars we've had in lecture up until now (or one of your own design) and use it to develop a framework in the code base for attaching SDT procedures to particular production rules and have them executed (as shown in lecture) when end-of-production markers are encountered in the LL(1) parsing stack.

Develop for your group a working example that demonstrates at least following:
a. Flip flops or rotates grammar symbols of a particular production rule's RHS.

For instance, the grammar rule is $A \rightarrow x Y z$, but the AST children of $A$ are in the order $Y z x$ or $z x Y$ or $z Y x$.
b. "Flattens" a recursive rule (such as what happens to SEQLIST in the lecture example.

So grammar rules $B \rightarrow g B$ and $B \rightarrow g$ yield an AST node $B$ with many $g$ children, instead of most ${ }^{1} B$ nodes having two children $g$ and $B$.

Keep in mind, there is no practical use of option (a) above in the parsing of languages, it is simply a useful manipulation that is easy to code and verify in your implementation.
3. Same as question 2 iff your group has two different code implementations. Otherwise, you can ignore this question.

[^0]4. Suppose you are given a correct, un-conflicted $\operatorname{SLR}(1)$ table and told the grammar it is for contains only one non-terminal. Can you deduce the grammar rules from this information? How? Or why not?
Hint: properly written grammars have a starting goal with $\$$ at the end of all its production rules, and therefore starting goals cannot have recursive rules.
Hint: here is an SLR(1) table for you two contemplate while you reason out your answer.

|  | $a$ | $c$ | $q$ | $x$ | $\$$ |
| ---: | :---: | :---: | :---: | :---: | :---: |
| 0 |  | sh-1 | sh-2 | sh-3 |  |
| 1 |  | sh-4 |  | sh-5 |  |
| 2 |  |  | sh-6 |  |  |
| 3 | sh-7 | sh-8 |  |  |  |
| 4 | sh-9 |  |  |  |  |
| 5 |  |  |  | sh-10 |  |
| 6 |  |  | sh-11 |  |  |
| 7 |  | sh-12 |  |  |  |
| 8 | sh-13 |  |  |  |  |
| 9 |  |  |  |  | sh-14 |
| 10 |  |  |  |  | sh-15 |
| 11 |  |  |  |  | sh-16 |
| 12 |  |  |  |  | sh-17 |
| 13 |  | Reduce 3 |  |  |  |
| 14 | Reduce 4 |  |  |  |  |
| 15 | Reduce 5 |  |  |  |  |
| 16 |  |  |  |  |  |
| 17 |  |  |  |  |  |
| 18 |  |  |  |  |  |

5. Construct a scanning function for our regular expression language on page 1 and reproduced below for your convenience. ${ }^{2}$ It should generate output as sequences of pairwise tuples (not surprisingly very similar to the token output of the LUTHOR programming project). From a regular expression such as

$$
\text { Ab (cd-e+)* (.|012) } 3
$$

your scanning logic should generate the token stream below:

| RE | $\rightarrow$ | ALT \$ |
| ---: | :--- | :--- |
| ALT | $\rightarrow$ | SEQ ALTLIST |
| ALTLIST | $\rightarrow$ | pipe SEQ ALTLIST |
|  | $\mid$ | $\lambda$ |
| SEQ | $\rightarrow$ | ATOM SEQLIST |
|  | $\mid$ | $\lambda$ |
| SEQLIST | $\rightarrow$ | ATOM SEQLIST |
|  | $\mid$ | $\lambda$ |
| ATOM | $\rightarrow$ | NUCLEUS ATOMMOD |
| ATOMMOD | $\rightarrow$ | kleene |
|  | $\mid$ | plus |
| NUCLEUS | $\rightarrow$ | $\lambda$ |
|  | $\mid$ | open ALT close |
|  | $\mid$ | dot CHARRNG |
| CHARRNG | $\rightarrow$ | dash char |
|  | $\mid$ | $\lambda$ |


| char | A |
| :--- | :--- |
| char | b |
| open | ( |
| char | C |
| char | d |
| dash | - |
| char | e |
| plus | + |
| close | ) |
| kleene | * |
| open | ( |
| dot | i |
| pipe | I |
| char | 0 |
| char | 1 |
| char | 2 |
| close | ) |
| char | 3 |

Recall that a scanner generates only the terminals of a language - it will never output a NUCLEUS, these are formed by the parser when recognizing patterns in the terminals.

We need to be able to write regular expressions with the char data of asterisk (*), so we must have a method for escaping the special symbols of our RE language. We'll use the ubiquitous backslash ( $0 \times 5 \mathrm{c}$ ) for this purpose. It will be helpful to have a few other specially escaped characters as well, here is the full list:

| RE sequence | token stream | RE sequence | token stream |
| :---: | :---: | :---: | :---: |
| $\backslash \mid$ | char | $\backslash *$ | char $\star$ |
| $\backslash+$ | char + | $\backslash$. | char. |
| $\backslash($ | char $($ | $\backslash)$ | char $)$ |
| $\backslash-$ | char - | $\backslash \mathrm{s}$ | char x 20 |
| $\backslash \mathrm{n}$ | char x 0 a | $\backslash \backslash$ | char $\backslash$ |



When turning a regular expression into a token stream, these backslash forms should emit a simple char terminal. For example, in the margin at the right is the expected output from a more complex regular expression:

```
\(paren(thetical|)\-ally\)\scorrect!\n
```

Now here's the (small) kicker: Don't implement any of the scanning logic using your language's built-in regex features! We want to finish the course having bootstrapped our own REs and Lexer. We can't say that if you use a regular expression library from another source. In truth this requirement is not difficult to meet, ${ }^{3}$ none of the sequences above require looking ahead more than two characters at a time.

[^1]
## Syntax Directed Translation (SDT) in brief

The thought exercise for question 1 has you determine the algorithms for massaging the raw parse tree (on page 6, also called a concrete syntax tree) into the simplified tree above.

Envision an algorithm where a rule or non-terminal specific function is invoked when each internal (non-terminal) node of a parse tree is completed. In this case completed means that no more children will be added to the node and no more children will be added to any descendants of the node. In the case of the LL parsing algorithm, this is everytime a production marker $\left({ }^{*}\right)$ is popped off the stack. See show_re-sdt.pdf and the parsing steps for a visual of the parsing algorithm.

By way of example, consider how the first $N U C L E U S$ node in the left-most derivation of $\mathrm{a}-\mathrm{d} . \mathrm{q}(\mathrm{A}|\mathrm{B}|)$ *de+ might be simplified immediately after the first application of rule 14 is completed. The regular expression part is a-d, which from the grammar above yields the partial ${ }^{4}$ parse tree with the $N U C L E U S$ node at the left:


The pseudo code in the middle shows how the parse tree $N U C L E U S$ node can be immediately simplified, with the resulting parse tree Post to the right. Some points for clarification:
i. Not all non-terminal nodes require simplification functions - truly one skill to be developed here is choosing the nodes for simplification in order to easily arrive at a simplified parse tree.
ii. The psuedo code uses terms directly from the grammar and the (partial) raw parse tree. How was the "path" of node.CHARRNG.char chosen in the logic? Because node $\equiv$ NUCLEUS and node.CHARRNG.char is the most convenient and unambiguous identifier for the second child of node's second child.
iii. The node type of range is not a grammar symbol, but that's OK - the input so far has been syntax verified, so we don't need to maintain the originally parsed structure of the input.
iv. Since we consider this simplification occuring as soon as a non-terminal node is completed, the tree does not yet show the $A T O M M O D$ node deriving to $\lambda$ and being appended as the right most child of the ATOM node now containing range.
v. We can envision the next simplification function called will be the one for ATOM after production rule 9 is completed. The partial parse tree at that time is shown on the following page; since there is no + or * operator applied to range the $A T O M$ can be "simplified away" leaving range as the first child of $S E Q$.

[^2]

Your task for question(s) 1 of this LGA: design the required simplification logic for transforming the (partial) LL(1) generated parse trees created during the recursive descent parse into a simplifed AST (the tree on on page 1).

For completeness, we show the complete pseudo code for simplifying NUCLEUS nodes (grammar rules 13-15):

```
procedure NUCLEUS( node, parse tree T )
    if ( node.children[0] is open ) then (
        replace node with node.children[1] in parse tree T
        return
    )
    if ( node.CHARRNG.char exists ) then (
        let rangeNode \leftarrow new range node
        rangeNode.addChild(node.char)
        rangeNode.addChild(node.CHARRNG.char)
        replace node.children with rangeNode in parse tree T
        return
    )
    if ( node.CHARRNG.child is \lambda ) then (
        remove node.CHARRNG child
        return
    )
    if ( node.child is dot ) then (
        replace node with node.child in parse tree T
        return
    )
```

Beginning on the following page are the transformations of the parse (sub)trees that occur depending on the production rule being processed. In all Pre trees, the grey node represents node of the NUCLEUS logic; in the Post the only colored node represents the the same location in the parse tree as the PRE grey node.



Rule 14 when CHARRNG.child is $\lambda$


## "Raw" Parse Tree for input $\mathrm{a}-\mathrm{d} . \mathrm{q}(\mathrm{A}|\mathrm{B}|)$ *de+, also called a concrete parse tree




[^0]:    ${ }^{1} \ldots$ all but the recursion terminating substitution.

[^1]:    ${ }^{2}$ This logic is referred to in WRECK as the "silly lexer," because it is quite barely a lexer.
    ${ }^{3}$ A loop and one state variable?

[^2]:    ${ }^{4}$ It is a partial parse tree because it is still being constructed by an LL (top-down) parse - the only place you see full, raw parse trees are in university compilers courses - in practice simplification of the parse tree occurs hand-in-hand and concurrently with the parse!

