

## First Sets of Non-Terminals $N$

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2	$S \rightarrow E F G H \$$
3	$S \rightarrow H \$$
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Write a sentence from the language of this grammar. . .

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What terminals of the grammar ( $\Sigma = \{b, c, e, g, h\}$ ) can a sentential form  $\beta$  begin with?<sup>1</sup>

How is this different than the previous question? **We just want the first terminal**, and we are interested in **all possible sentences** of the language.

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Congratulations (I hope), you've just found (**most of**) the **First Set** of  $S$ :

$$First(S) \approx \{b, c, e, g, h\}$$

What is the **First Set** of  $E$ ?

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What is the **First Set** of  $E$ ?  $First(E) = \{e\}$

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$$First(E) = \{e\}$$

Why isn't  $c$  in  $First(E)$ ? Notice that  $E \rightarrow \lambda$  and rules 2, 7, 9 and 6 permit

$$(2) \quad S \rightarrow E F G H \$$$

$$(7) \quad S \rightarrow \lambda F G H \$$$

$$(9) \quad S \rightarrow C E G H \$$$

$$(6\&7) \quad S \rightarrow c \lambda G H \$$$

$$S \rightarrow c G H \$$$

so can't  $c$  begin an  $E$ ?

It's time for a formal definition of  $First(\alpha) \dots$

## First Sets of $\alpha$ (Formal Definition)

#	Rules
1	$S \rightarrow BC\$$
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6	$C \rightarrow c$
7	$E \rightarrow \lambda$
8	$E \rightarrow e$
9	$F \rightarrow CE$
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$$\text{First}(\alpha) = \{t \in \Sigma_{\$} \mid \alpha \Rightarrow^* t \beta\} \quad \alpha \in N, \quad \beta \in (N \cup \Sigma)^*$$

**Notice:** we aren't interested in **sentential forms**, ie: all the rules containing  $\alpha$  in the RHS.  $\text{First}(\alpha)$  is just the terminals that can **begin** the RHS of a derivation **with  $\alpha$  on the LHS**.

(We also permit ourselves to say  $t \Rightarrow t$ , even though  $t$  is a terminal (or even  $\$$ ) and does not exist on the LHS of any production rule; what is easy about  $\alpha \in \Sigma_{\$}$ ?)

## First Sets of $\alpha$ (Computational Definition)

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$$First(\alpha) = \begin{cases} \{\alpha\} & \text{if } \alpha \in \Sigma_{\$} \\ \bigcup_{(\alpha \rightarrow X_i \beta_i) \in P} First(X_i \beta_i) & \text{if } \alpha \in N \end{cases}$$

$$First(\alpha_1 \alpha_2 \cdots \alpha_n) = \bigcup_{j=1}^n First(\alpha_j) \text{ if } \alpha_i \Rightarrow^* \lambda \text{ for } i = 1, \dots, j-1$$

The first set of a terminal is itself, the first set of a non-terminal is the union of the first sets of its production rules' LHSs, and the first set of a sequence is the union of its element's first sets **from left to right** up to and including **the first symbol that cannot derive to  $\lambda$** .

Notice that first sets are from  $\Sigma_{\$}$ , the complete  $First(S)$  is actually  $\{b, c, e, g, h, \$\}$ , because  $\{\$ \} \subset First(EFGH\$)$  and  $First(H\$) = \{h, \$\}$ .

## Follow Sets of Non-Terminals $N$

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What symbols from  $\Sigma_\$$  might **follow** the rewrite of  $B \rightarrow b$  in a derivation using rule 4?



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What symbols from  $\Sigma_{\$}$  might **follow** the rewrite of  $B \rightarrow b$  in a derivation using rule 4?

$B$  is in the RHS of only rule 1 (which makes things simpler), **in this case** the “follow set” of  $B \equiv First(C\$)$  because  $C\$$  comes after  $B$  in rule 1.

$$Follow(B) = First(C\$) = \{c, \$\}$$

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A little more nuanced: what is the follow set of  $E$ ?

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A little more nuanced: what is the follow set of  $E$ ?

$E$  is in the RHS of rules 2 and 9,

- ▶ from rule 2,  $Follow(E)$  gets a contribution from  $First(F G H \$) = \{c, e, g\}$
- ▶ from rule 9,  $Follow(E)$  gets a contribution from  $Follow(F)$ , since  $E$  is at the end of the rewrite rule for  $F$ .  
Fortuitously, the  $Follow(F)$  is straightforward in this case:  
 $Follow(F) = First(G H \$) = \{g\}$

$$Follow(E) = First(F G H \$) \cup Follow(F) = \{c, e, g\}$$

## Follow Sets of A (Definition)

#	Rules
1	$S \rightarrow BC\$$
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$$\text{Follow}(A) = \{t \in \Sigma_{\$} \mid S \Rightarrow^{+} \alpha A t \beta\}$$

$A \in N \quad \alpha, \beta \in (N \cup \Sigma)^{*}$

In this case, we are interested in **only sentential forms** of the language.

There is no difference between calculating  $\text{Follow}(t \in \Sigma)$  vs  $\text{Follow}(A \in N)$ , it turns out we will be interested in only the follow sets of **non-terminals** from the grammar.

## Follow Sets of A (Computational Definition)

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$$\text{Follow}(A) = \{t \in \Sigma_{\$} \mid S \Rightarrow^{+} \alpha A t \beta\}$$

$A \in N \quad \alpha, \beta \in (N \cup \Sigma)^{*}$

- i. Set  $\text{Follow}(A) = \emptyset$
- ii. For each instance of  $A$  in a production  $X \rightarrow \alpha A \beta$ ,
  - a. Add  $\text{First}(\beta)$  to  $\text{Follow}(A)$
  - b. If  $\beta \Rightarrow^{*} \lambda$ , add  $\text{Follow}(X)$  to  $\text{Follow}(A)$